



Small Business Innovation Research Small Business Technology TRansfer

Dr. Joseph Grant | STTR Overview | 03.27.18

SBIR / STTR Programs Vision and Mission

VISION

Empower small businesses to deliver technological innovation that contributes to NASA's missions, provides societal benefit, and grows the US economy.

NASA's SBIR and STTR programs have awarded **more than \$3.3 billion** to research-intensive American small businesses

MISSION

Create opportunities through SBIR/STTR awards to leverage small business knowledge and technology development for maximum impact and contribution

Engineers and scientists from **more than 12,000** small businesses in all 50 States, DC and Puerto Rico have participated

The STTR Program

Small Business Technology Transfer (STTR)



- STTR facilitates cooperative R&D between small business concerns and U.S. research institutions – with potential for commercialization
- For FY17, 0.45% of the extramural research budget for all agencies with a budget greater than \$1B per year (5 federal agencies presently participate)
- The STTR program has a statutory requirement to stimulate a partnership of ideas and technologies between innovative small business concerns (SBCs) and Research Institutions through Federally-funded research or research and development (R/R&D).
- STTR also adheres to SBA directives to increase participation by Women-Owned, Veteran-Owned and Small Disadvantaged Businesses and outreach to HBCUs and Minority Serving Institutions. Outreach is also made to under represented areas/regions of the country.

Why Should You Participate in STTR?

For the Small Business Concerns

- Opportunity to Leverage expertise and innovative ideas from Professors/Research Staff/Students
- Opportunity to leverage specialized facilities and experimental equipment at the Research Institutions (RIs) when often SBCs may not be able to afford such facilities on their own
- Opportunity to Create Pipeline of Usable Talent for Company from the RIs
- Develop working relationship & credibility with government R&D
- Fosters partnerships with large corporations and academia
- Provides recognition and visibility for your business
- Participation attracts venture capital and other funding sources

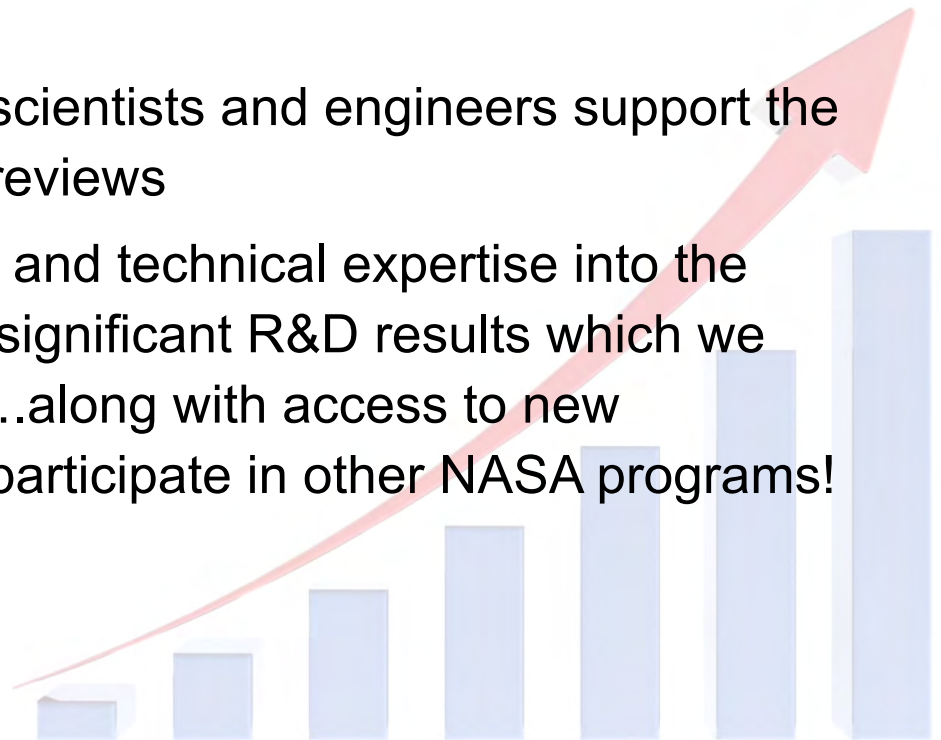
For the Research Institutions

- Opportunity to Create/Inspire Entrepreneurship as a vital part of the Educational Experience
- Another opportunity to access federal funding for research
- An opportunity sometimes to get RI Intellectual Property (IP) involved in the project and licensed
- Another means for visibility in the research community, generate peer-reviewed pubs., etc.



NASA Program Background

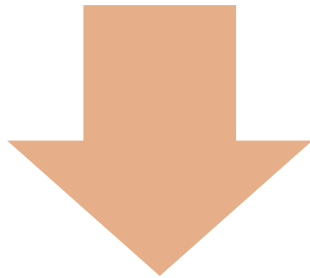
- NASA's SBIR and STTR programs have awarded more than **\$3.3B** to research-intensive American small businesses to date; STTR makes up close to **\$300M** of that figure
- Engineers and scientists from more than 12,000 Firms in all 50 States, DC, and Puerto Rico have participated across the two programs
- Each year about 1,700 NASA scientists and engineers support the program performing technical reviews
- NASA invests significant funds and technical expertise into the program and is rewarded with significant R&D results which we infuse into our programs.....along with access to new businesses and RIs who may participate in other NASA programs!



Agency SBIR / STTR Differences

CONTRACTING AGENCIES

- Agency establishes plans, protocols, requirements
- Highly focused topics
- **Procurement** mechanism for DOD and NASA
- More fiscal requirements



**NASA, DoD, HHS/NIH, ED,
EPA, DOT, DOC**

GRANTING AGENCIES

- Investigator initiates
- Approach
- Less-specified topics
- **Assistance** mechanism
- More flexibility



**HHS/NIH, NSF, ED,
USDA, DOE**

SBIR/STTR Program Structure

Phase I: Concept

- Award Guideline: \$125K
- Duration: 6 months (SBIR)
13 months (STTR)



Phase II: Full Research R&D to Prototype

- Award Guideline: \$750K
- Duration: 24 months
 - Phase II-E → 1:1 Matching up to \$375K



Phase III: Transition to Commercialization/Infusion

Non-SBIR/STTR funds

- Contract from NASA program or other Agency
- Prime contractor



Go to www.sbir.nasa.gov for details

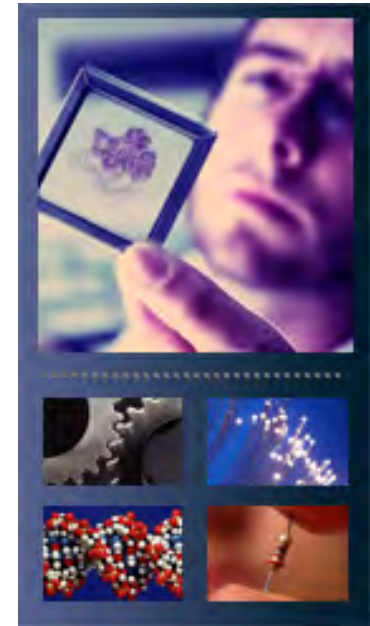
Intellectual Property

Patent Rights

- Small business concerns normally retain the principal worldwide patent rights to any invention developed with Government support

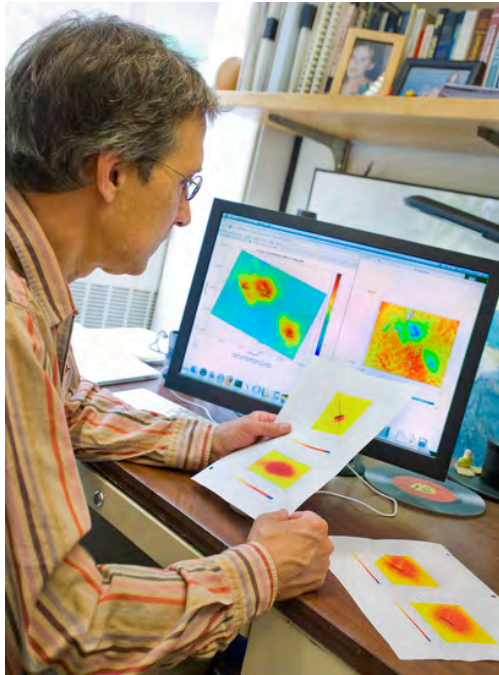
Government Use

- The Federal Government receives a royalty-free license for Federal Government use



U.S. Patent and Trade Office

<http://www.uspto.gov/>



Protection Period

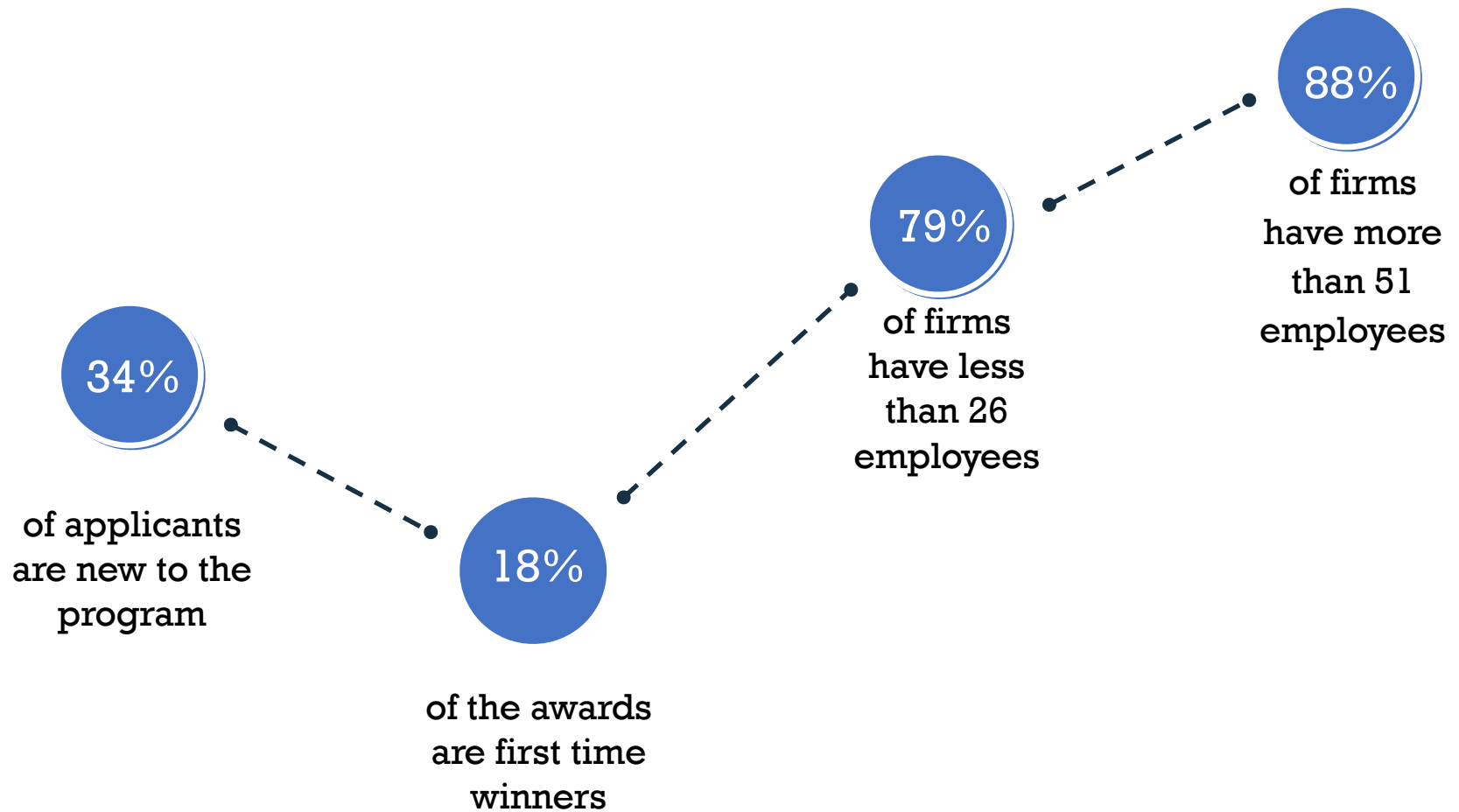
- Data generated from your R/R&D is protected from public disclosure for a minimum of 4 years (civilian agencies) or 5 years (DOD) after the conclusion of your award (Phase I, Phase II, or federally funded Phase III)

Government Use

- The Government retains a royalty-free license for Government use of any technical data delivered under an SBIR award, whether patented or not

Working with Small Businesses

FY17 Phase I SBIR/STTR Awards Data Points



Program 2018 Initiatives

I-Corps

In partnership with the National Science Foundation (NSF), NASA is offering the I-Corps program to educate selected teams on how to translate technologies from the laboratory into the marketplace.

<http://sbir.nasa.gov/content/I-Corps>



Mentor-Protégé Program

The NASA Mentor-Protégé Program encourages NASA prime contractors to assist eligible protégés to:

- Enhance their capabilities to perform on NASA contracts and subcontracts,
- Foster the establishment of long-term business relationships between these entities and NASA prime contractors, and
- Increase the overall number of these entities that receive NASA contract and subcontract awards.

For more information on the Mentor-Protégé Program visit:

<http://www.osbp.nasa.gov/mpp/index.html>



Learning about NASA's Needs

Focus Areas

NASA's research subtopics are organized by "Focus Areas" that group interests and related technologies.

- **Identify** the Area(s) closest to your innovation/idea
- **Go** to our website to research
- **Prepare to write** a proposal tailored to NASA's needs

<https://sbir.nasa.gov/solicitations>

2018 Focus Areas	
1. In-Space Propulsion Technologies	12. Entry, Descent and Landing Systems
2. Power and Energy Storage	13. Information Technologies for Science Data
3. Autonomous Systems for Space Exploration	14. In-Space and Advanced Manufacturing
4. Robotic Systems for Space Exploration	15. Lightweight Materials, Structures, Assembly, and Construction
5. Communications and Navigation	16. Ground and Launch Processing
6. Life Support and Habitation Systems	17. Thermal Management Systems
7. Human Research and Health Maintenance	18. Air Vehicle Technology
8. In-Situ Resource Utilization	19. Integrated Flight Systems
9. Sensors, Detectors and Instruments	20. Airspace Operations and Safety
10. Advanced Telescope Technologies	21. Small Spacecraft Technologies
11. Spacecraft and Platform Systems	22. ISS Utilization and Microgravity Research

NASA's Technology Roadmaps

TA 1



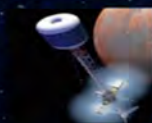
LAUNCH PROPULSION SYSTEMS

TA 2



IN-SPACE PROPULSION TECHNOLOGIES

TA 3



SPACE POWER AND ENERGY STORAGE

TA 4



ROBOTICS AND AUTONOMOUS SYSTEMS

TA 5



COMMUNICATIONS, NAVIGATION, AND ORBITAL DEBRIS TRACKING AND CHARACTERIZATION SYSTEMS

TA 6



HUMAN HEALTH, LIFE SUPPORT, AND HABITATION SYSTEMS

TA 7



HUMAN EXPLORATION DESTINATION SYSTEMS

TA 8



SCIENCE INSTRUMENTS, OBSERVATORIES, AND SENSOR SYSTEMS

TA 9



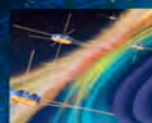
ENTRY, DESCENT, AND LANDING SYSTEMS

TA 10



NANOTECHNOLOGY

TA 11



MODELING, SIMULATION, INFORMATION TECHNOLOGY, AND PROCESSING

TA 12



MATERIALS, STRUCTURES, MECHANICAL SYSTEMS, AND MANUFACTURING

TA 13



GROUND AND LAUNCH SYSTEMS

TA 14



THERMAL MANAGEMENT SYSTEMS

TA 15

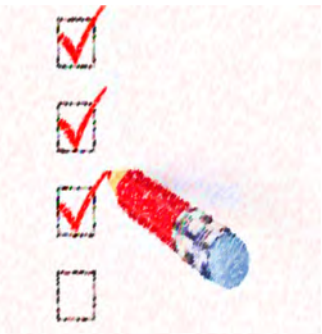


AERONAUTICS

<https://www.nasa.gov/offices/oct/home/roadmaps/index.html>

Checklist before Submitting Application

- Submit proposal prior to the deadline
- Perform the “Endorse Proposal” step, which is the final step in the submissions process
- Make sure you meet the format requirements (margin and font size, page limitation)
- Have the RI register correctly (STTR Requirement)
 - For STTR proposals the RI needs to endorse the Research Agreement prior to your proposal being complete and submitted
 - RI will need to create an account in the Proposal Submission EHB
 - register under your firm using your EIN, State, and PIN so they are attached to your proposal correctly
 - choose the RI option at the bottom of the page when entering their name, email, phone etc



NASA SBIR/STTR Website www.sbir.nasa.gov

The NASA SBIR/STTR website is located at www.sbir.nasa.gov

Research NASA's Needs
Annual Solicitations including past years

Looking to Join the Program?

- Program Basics
- Forms Library
- Model Contract
- In-depth Training Resources and FAQs

SBIR/STTR
Small Business Innovation Research / Small Business Technology Transfer

HOME ABOUT SBIR/STTR SOLICITATIONS SCHEDULE & AWARDS HANDBOOKS MULTIMEDIA CONTACT US

2017 INDUSTRY DAY
SELECTION AND ANNOUNCEMENT
NATIONAL CONFERENCE
SUCCESS STORIES
TECHNOLOGIES

TETHERS UNLIMITED, INC.

Success Stories
CubeSat Thrusters Powered by Green Propellant
Tethers Unlimited, Inc.'s (TUI) green propulsion system called HYDROS is used to power CubeSats, a type of miniaturized satellite
[Read More](#)

Proposers
SBIR/STTR Basics
SBIR/STTR Schedule
Participation Guide
SBIR/STTR Firms Library
Model Contract

Awardees
SBIR/STTR Schedule
SBIR/STTR Firms Library
Additional Sources of Assistance
Awardee Firm's EIR
Training Resources

Demographics Data
State-based Summaries
Award Search
FY 2012 Economic Impact Report

Contact the Program
SBIR/STTR Helpdesk
and Program Points of
Contact

PHASE III SUCCESS

\$525,000 Grand Prize winner of the Nokia XChallenge. Awarded Over several million dollars in funding from private investors, and multiple biotech and pharmaceutical partners.

SNAPSHOT

Self-diagnosis for astronauts on long missions in outer space is possible using an innovative blood analysis system which can generate comprehensive medical test results within minutes using a single drop of blood.

Easy and Non-intrusive Nanoscale Diagnostic Platform

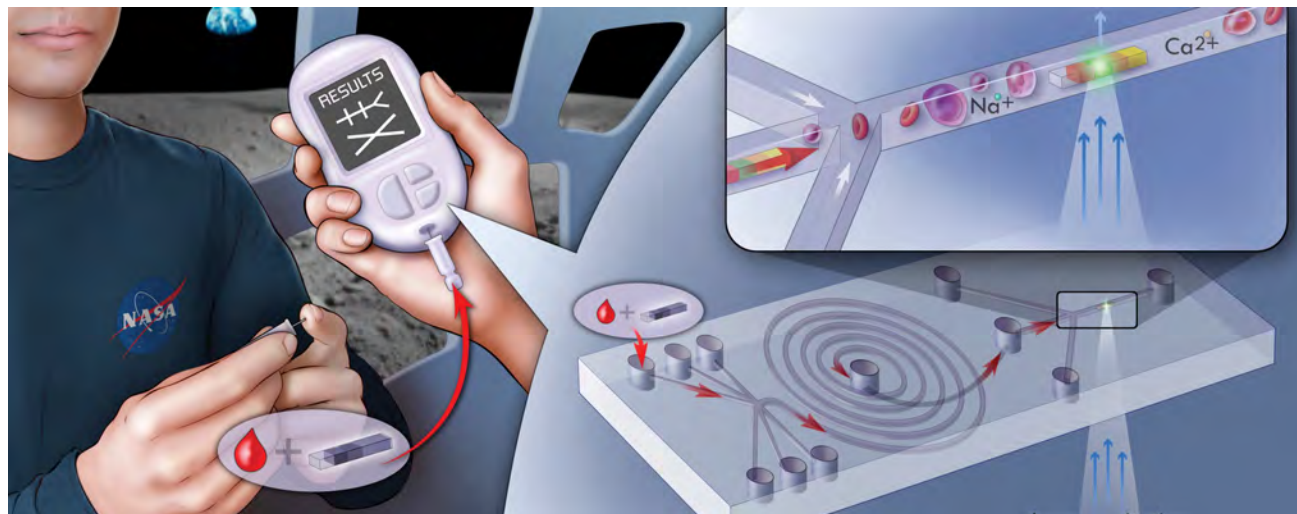
DNA Medicine Institute (DMI), Cambridge, Massachusetts

Challenge

NASA had been searching for ways to monitor the health of astronauts during long missions using tests that would be easy to administer and are not intrusive. NASA also wanted to enable astronauts to address medical issues immediately without waiting for guidance from mission control.

Innovation

DMI developed a comprehensive nanoscale diagnostic platform to meet these stringent requirements. The solution includes fluorescence-based test strips, a hand-held sensor and software to generate a medical results dashboard.



SBIR/STTR Success

PHASE III SUCCESS

Recent Phase III follow-on contracts with NASA worth \$200K to supply the UBC to the International Space Station; technology results in cost savings of \$2 million per launch.

SNAPSHOT

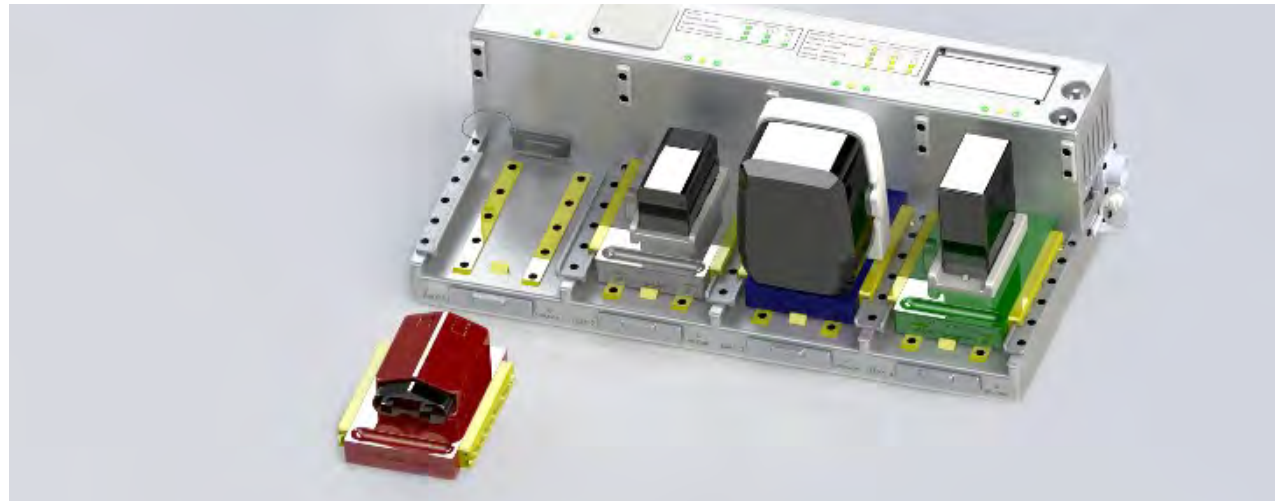
Aurora Flight Science has utilized the NASA SBIR program to develop a Universal Battery Charger for use on the ISS capable of interfacing with the most commonly used batteries on board.

ISS Universal Battery Charger (UBC)

Aurora Flight Sciences Corporation, Manassas, VA

Innovation

From camcorders and digital cameras, to science experiments, to drills, the International Space Station is home to a handful of tech gadgets and power tools that constantly need to be charged. Just like on Earth, all of these things require their own dedicated chargers. While the obvious inconvenience of lugging dozens of various adapters to space might seem like reason enough to invest in a universal battery charger, the driving force is actually the cost. The estimated total to launch 1 kg (a little over 2 pounds) of equipment into orbit is over \$10,000. Although the newer launch vehicles may drive that figure down, it will still cost thousands of dollars to send equipment into space – necessitating a simpler, cost-effective system for use on the ISS.



SBIR/STTR Success

PHASE III SUCCESS

More than \$3 million in follow-on contracts with NASA, DOD, and private companies.

SNAPSHOT

Honeybee Robotics has developed advanced robotic and electromechanical systems that operate in challenging environments in space and on Earth. Since 2003, every NASA spacecraft to land on the Martian surface has utilized technology built by Honeybee.

Compact Lightweight Sampling Drill for Planetary Exploration

Honeybee Robotics, Ltd., New York, New York

Innovation

From Brooklyn, New York-based Honeybee Robotics has spent over fifteen years developing advanced robotics systems and planetary drills that allow scientists to explore planets in three dimensions. The company's meter-class drill extraction systems were originally intended for lunar missions. Honeybee had a vision of sustaining a human or robotic presence on the Moon to mine local resources from a central base, thus decreasing the cost and wait times associated with sending them up from Earth.



Contact us and let's innovate together

Website: **www.sbir.nasa.gov**

NASA Help Desk: **301.937.0888**